HHM WEALTH ADVISORS

Rev 3/2022

FACTS	WHAT DOES HHM WEALTH ADVISORS, LLC DO WITH YOUR PERSONAL INFORMATION?
WHY?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
WHAT?	The types of personal information we collect and share depends upon the services provided to you. This information can include: ▪ **Social Security Number and Financial Information Provided by Client** ▪ **Email Address and Transaction Information** ▪ **Name – Address - Phone**
HOW?	All financial companies need to share **non-public** personal information to run their everyday business. In the section below, we list the reasons financial companies can share their **non-public** personal information; the reasons **HHM Wealth Advisors, LLC** chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does HHM Wealth share?	Can you limit this sharing?
For our everyday business purposes – Such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	YES	NO
For our marketing purposes – To offer our products and services to you	YES	NO
For joint marketing with other financial companies	NO	*We don't share*
For our affiliates' everyday business purposes – Information about your transactions and experiences	NO	*We don't share*
For our affiliates' everyday business purposes – Information about your creditworthiness	NO	*We don't share*
For our affiliates to market to you	NO	*We don't share*
For non-affiliates to market to you	NO	*We don't share*

Questions/Opt-Out	**Call: 423-826-1670** ***HHM Wealth does not share client NPI to nonaffiliated third parties***

Who we are	
Who is providing this notice?	HHM Wealth Advisors, LLC

What we do	
How does HHM Wealth Advisors, LLC protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does HHM Wealth collect my information?	We collect your personal information, for example, when you ▪ Open an account or apply for Life Insurance ▪ Update Beneficiary Designations or Update Addresses ▪ Periodic Portfolio Management Reviews
Why can't I limit all sharing?	Federal law gives you the right to limit only ▪ Sharing for affiliates' everyday business purposes – information about your creditworthiness ▪ Affiliates from using your information to market to you ▪ Sharing for non-affiliates to market to you State laws and individual companies may give you additional rights to limit sharing.

Definitions	
Affiliates	Companies related by common ownership. They can be financial and non-financial companies. ▪ **The CPA Group, LLC**
Non-affiliates	Companies not related by common ownership or control. They can be financial and non-financial companies. ▪ **Charles Schwab Advisor Services** ▪ **Other Custodians (e.g., American Funds)** ▪ **Highland Capital (Life, LTC Insurance Applications)**
Joint Marketing	A formal agreement between non-affiliated financial companies that together market financial products or services to you. ▪ **Not Applicable**

No Longer our client: ***When you are no longer our client, we will continue to hold your information and share it as described in this notice.***